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TRANSMITTAL

FORM

10/048,034

July 21, 2000

Application Number

Int. Filing Date

		First Named Inventor	H. Michael Shepard			
		Group Art Unit	1645			
(to be used for all correspondence after initial filing)		Examiner Name	Not Yet Assign	Not Yet Assigned		
Total Number Of Pages In This Submission	7	Attorney Docket No.	NB 2006.00			
ENCLOSURES (check all that apply)						
Fee Transmittal Form	Ass	ignment Papers an Application)		After Allowance Communication to Group		
Fee Attached	Dra	wing(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment / Reply	Lice	ensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final	Peti	ition	,	Proprietary Information		
Affidavits/declarations		ition to Convert to a visional Application		Status Letter		
Extension of Time Request		ver of Attorney, Revocation inge of Correspondence Ado	iress	Other Enclosure(s) (please identify below):		
Express Abandonment Request	_	minal Disclaimer quest for Refund		Paper Copy of Sequence Listing, Computer Readable Diskette Containing Copy of Sequence Listing and Return Receipt Postcard		
Information Disclosure Statement] CD,	Number of CD(s)				
Certified Copy of Priority Document(s)	marks					
Response to Missing Parts/ Incomplete Application						
Response to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT						
Firm Antoinette F. Konski Bingham McCutchen LLP Three Embarcadero Center, Suite 1800 San Francisco, California 94111						
Signature Mutauith Hawlu						
Date 10. 18, 200 2						
CERTIFICATE OF MAILING						
I hereby certify that this paper or fee is being deposited with the United States Postal Service as Express Mail No EV 155 464 010 US addressed to: Box PCT, Commissioner for Patents, Washington, D.C. 20231 on this date: November 18, 2002 Lae Wan Howard						
Jag Wan Hwang						

Burden Hours Statement: This form is estimated to take 6.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a)):

[X] Pursuant to 37 C.F.R. § 1.10, I hereby certify that this paper and all enclosures are being deposited with the United States Postal Service as Express Mail Label Number EV 155 464 010 US on the date indicated below in an envelope addressed to Box PCT, U.S. Patent & Trademark Office, Washington, DC 20231.

[Dated: November 18, 2002

Name of Person Certifying: Printed Name:

lae W

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

H. Michael Shepard

Int. Filing Date: July 21, 2000

Examiner:

Not Yet Assigned

U.S. Appl. No.: 10/048,034

Group Art Unit: 1645

Int. Appl. No.: PCT/US00/19844

Title:

ENZYME CATALYZED ANTI-INFECTIVE THERAPEUTIC

AGENTS

Commissioner for Patents **Box PCT** United States Patent and Trademark Office Washington, DC 20231

RESPONSE TO NOTICE OF DEFECTIVE RESPONSE UNDER 371

Dear Sir:

This Response is submitted in reply to the Notice of Defective Response (copy attached) issued by the U.S. Patent and Trademark Office on October 17, 2002, in connection with the above-identified application. A response to this Notice is due one month from the date of issuance, i.e., on or before November 17, 2002. However, because November 17, 2002, falls on a Sunday, a response filed the next business day, namely Monday, November 18, 2002, is considered timely under 37 C.F.R. § 1.8. Accordingly, this Response is timely filed.

The October 17, 2002 Notice that Applicants had failed to supply the disclosure in accordance with 37 C.F.R. § 1.821-1.825. Enclosed herewith is a diskette having recorded thereon the information submitted with the paper copy of the sequence listing, also enclosed

herewith. The undersigned hereby declares that the information provided on the paper copy is identical to the information provided on the computer diskette.

Applicant's undersigned attorney also wishes to advise the Office that due to a merger of law firms, the name of the undersigned's firm has been changed to Bingham McCutchen LLP.

In the unlikely event that the transmittal letter is separated from this document and/or the Patent Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 50-1189**, billing reference number <u>23896-7151</u>. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Date: November 18, 2002

Antoinette F. Konski Reg. No. 34,202

Bingham McCutchen LLP Formerly McCutchen, Doyle, Brown & Enersen LLP Three Embarcadero Center, Suite 1800 San Francisco, CA 94111

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UNITED STATES PATENT AND TRADEMARK OFFICE

Contrassioner for Patients, Box PCT United States Patient and Trademark Office Washington, D.C., 2023

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/048,034 H Michael Shepard NB 2006.00 INTERNATIONAL APPLICATION NO. PCT/US00/19844 Antoinette F. Konski LA. FILING DATE PRIORITY DATE McCutchen, Doyle, Brown & Enersen 07/21/2000 07/22/1999 Three Embarcadero Center RECEIVED **Suite 1800** San Francisco, CA 94111-4067 **CONFIRMATION NO. 2762** OCT 2 2 2002

> Bingham McCutchen IP Docket Dipt.

371 FORMALITIES LETTER
OC0000000008961921

Date Mailed: 10/17/2002

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fee
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration

Applicant's response filed 06/28/2002 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 05/01/2002 have not been completed.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
 - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
 - APPLICANT MUST PROVIDE:

- An initial or substitute computer readable form (CRF) of the "Sequence Listing."
- An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase Patentin Software, call (703) 306-2600
 - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
 - This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
 - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

PAULETTE R KIDWELL

Telephone: (703) 305-3656

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/048,034	PCT/US00/19844	NB 2006.00

FORM PCT/DO/EO/916 (371 Formalities Notice)